# Before the Federal Communications Commission Washington, D.C. 20554

In the Matter of	)	
	)	Facility ID No. 16937
Dillon N.P.R.	)	NAL/Acct. No. MB200741410070
Licensee of Translator Station K288DZ(FX)	)	FRN: 0013213053
Dillon, Montana	)	File No. BRFT-20050331DBM
	)	

### FORFEITURE ORDER

Adopted: June 15, 2009 Released: June 16, 2009

By the Chief, Audio Division, Media Bureau:

## I. INTRODUCTION

1. In this Forfeiture Order ("Order"), we issue a monetary forfeiture in the amount of two hundred and fifty dollars (\$250), to Dillon N.P.R. ("Licensee"), licensee of Translator Station K288DZ(FX), Dillon, Montana ("Station"), for its willful and repeated violation of Section 73.3539 of the Commission's Rules ("Rules") by failing to timely file a license renewal application for the Station.

## II. BACKGROUND

- 2. On February 6, 2007, the Bureau issued a Notice of Apparent Liability for Forfeiture ("NAL") in the amount of one thousand, five hundred dollars (\$1,500) to Licensee for this violation.<sup>2</sup> Licensee filed a Request for Cancellation of Proposed Forfeiture ("Request") on February 27, 2007.
- 3. As noted in the NAL, Licensee's renewal application for the current Station's license term was due on December 1, 2004, four months prior to the April 1, 2005, expiration date.<sup>3</sup> Licensee did not file the application until March 31, 2005, and provided no explanation for the untimely filing of the renewal application. On February 6, 2007, the staff advised Licensee of its apparent liability for a forfeiture of \$1,500 for willfully and repeatedly violating Section 73.3539 of the Rules, based on the fact that Licensee failed to timely file a renewal application for the Station.<sup>4</sup> In response, Licensee filed the subject Request.
- 4. In support of its Request, Licensee states that: (1) its failure to properly file the renewal application was inadvertent; and (2) payment of the forfeiture would cause it financial hardship. Licensee asserts that these reasons warrant a cancellation or reduction of the assessed forfeiture.

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<sup>&</sup>lt;sup>1</sup> 47 C.F.R. § 73.3539.

<sup>&</sup>lt;sup>2</sup> *Dillon N.P.R.*, Memorandum Opinion and Order and Notice of Apparent Liability for Forfeiture, 22 FCC Rcd 2263 (MB 2007).

<sup>&</sup>lt;sup>3</sup> See 47 C.F.R. §§ 73.1020, 73.3539(a).

<sup>&</sup>lt;sup>4</sup> The Commission granted the above-referenced license renewal application on February 6, 2007.

### III. DISCUSSION

- 5. The forfeiture amount proposed in this case was assessed in accordance with Section 503(b) of the Act, <sup>5</sup> Section 1.80 of the Rules, <sup>6</sup> and the Commission's *Forfeiture Policy Statement*. <sup>7</sup> In assessing forfeitures, Section 503(b)(2)(E) of the Act requires that we take into account the nature, circumstances, extent and gravity of the violation and, with respect to the violator, the degree of culpability, any history of prior offenses, ability to pay, and such other matters as justice may require. <sup>8</sup>
- 6. Licensee does not dispute that it failed to file a timely renewal application for the Station, but states that these violations were unintentional. Specifically, it asserts that its failure to timely file the renewal application was "an oversight." As the Commission has held, however, violations resulting from inadvertent error or failure to become familiar with the FCC's requirements are willful violations. In the context of a forfeiture action, "willful" does not require a finding that the rule violation was intentional. Rather, the term "willful" means that the violator knew that it was taking (or, in this case, not taking) the action in question, irrespective of any intent to violate the Rules.
- 7. Regarding Licensee's claim of financial hardship, the Commission will not consider reducing or canceling a forfeiture in response to inability to pay unless the licensee submits: (1) federal tax returns for the most recent three-year period; (2) financial statements prepared according to generally accepted accounting practices ("GAAP"); or (3) some other reliable and objective documentation that accurately reflect the licensee's current financial status. Here, Licensee has only provided us with a one-page bank statement that reflects its account balance as of December 31, 2006. We find this information alone is an insufficient basis on which to assess Licensee's inability to pay. Accordingly, in the absence of sufficient information to support a decision to the contrary, we decline to cancel or reduce the proposed forfeiture on the basis of inability to pay.

<sup>&</sup>lt;sup>5</sup> 47 U.S.C. § 503(b).

<sup>&</sup>lt;sup>6</sup> 47 C.F.R. § 1.80.

<sup>&</sup>lt;sup>7</sup> The Commission's Forfeiture Policy Statement and Amendment of Section 1.80 of the Rules to Incorporate the Forfeiture Guidelines, Report and Order, 12 FCC Rcd 17087 (1997), recon. denied, 15 FCC Rcd 303 (1999).

<sup>&</sup>lt;sup>8</sup> 47 U.S.C. § 503(b)(2)(E).

<sup>&</sup>lt;sup>9</sup> Request at 1.

<sup>&</sup>lt;sup>10</sup> See PJB Communications of Virginia, Inc., Memorandum Opinion and Order, 7 FCC Rcd 2088 (1992). See Southern California Broadcasting Co., Memorandum Opinion and Order, 6 FCC Rcd 4387, 4387 (1991), recon. denied, 7 FCC Rcd 3454 (1992) ("Southern California") (stating that "inadvertence ... is at best, ignorance of the law, which the Commission does not consider a mitigating circumstance"); Standard Communications Corp., Memorandum Opinion and Order, 1 FCC Rcd 358 (1986) (stating that "employee acts or omissions, such as clerical errors in failing to file required forms, do not excuse violations").

<sup>&</sup>lt;sup>11</sup> See Five Star Parking d/b/a Five Star Taxi Dispatch, Forfeiture Order, 23 FCC Rcd 2649 (EB 2008) (declining to reduce or cancel forfeiture for late-filed renewal based on licensee's administrative error); Southern California, 6 FCC Rcd at 4387. See also Domtar Industries, Inc., Notice of Apparent Liability for Forfeiture, 21 FCC Rcd 13811, 13815 (EB 2006); National Weather Networks, Inc., Notice of Apparent Liability for Forfeiture, 21 FCC Rcd 3922, 3925 (EB 2006).

<sup>&</sup>lt;sup>12</sup> See A-O Broadcasting Corp., Memorandum Opinion and Order, 20 FCC Rcd 756, 759 (2005) (finding that licensee failed to provide sufficient information needed to evaluate an inability to pay claim); Frank Neely, Memorandum Opinion and Order, 22 FCC Rcd 1434, 1434 (EB 2007) (same); Pang Cheng, Memorandum Opinion and Order, 20 FCC Rcd 2351, 2353 (EB 2005) (same).

8. We have considered Licensee's response to the NAL in light of the above statutory factors, our Rules, and the *Forfeiture Policy Statement*. We conclude that Licensee willfully<sup>13</sup> and repeatedly<sup>14</sup> violated Section 73.3539 of the Rules. However, given the Commission's recent decisions assessing forfeitures in the amount of \$250 against licensees of translator stations for violations of Section 73.3539 of the Rules, we reduce the forfeiture amount *sua sponte* to \$250.<sup>15</sup>

# IV. ORDERING CLAUSES

- 9. Accordingly, IT IS ORDERED, pursuant to Section 503(b) of the Communications Act of 1934, as amended, and Sections 0.283 and 1.80 of the Commission's Rules, <sup>16</sup> that Dillon N.P.R. SHALL FORFEIT to the United States the sum of \$250 for willfully and repeatedly violating Section 73.3539 of the Commission's Rules.
- 10. Payment of the forfeiture shall be made in the manner provided for in Section 1.80 of the Commission's Rules within 30 days of the release of this Forfeiture Order. If the forfeiture is not paid within the period specified, the case may be referred to the Department of Justice for collection pursuant to Section 504(a) of the Act. Payment of the proposed forfeiture must be made by check or similar instrument, payable to the order of the Federal Communications Commission. The payment must include the NAL/Acct. No. and FRN No. referenced in the caption above. Payment by check or money order may be mailed to Federal Communications Commission, at P.O. Box 979088, St. Louis, MO 63197-9000. Payment by overnight mail may be sent to U.S. Bank—Government Lockbox #979088, SL-MO-C2-GL, 1005 Convention Plaza, St. Louis, MO 63101. Payment by wire transfer may be made to ABA Number 021030004, receiving bank: TREAS NYC, BNF: FCC/ACV--27000001 and account number as expressed on the remittance instrument. If completing the FCC Form 159, enter the NAL/Account number in block number 23A (call sign/other ID), and enter the letters "FORF" in block number 24A (payment type code). 18

<sup>&</sup>lt;sup>13</sup> Section 312(f)(1) of the Act defines "willful" as "the conscious and deliberate commission or omission of [any] act, irrespective of any intent to violate" the law. 47 U.S.C. § 312(f)(1). The legislative history of Section 312(f)(1) of the Act clarifies that this definition of willful applies to Sections 312 and 503(b) of the Act, H.R. REP. No. 97-765, 51 (Conf. Rep.), and the Commission has so interpreted the terms in the Section 503(b) context. *See Southern California*, 6 FCC Rcd at 4387-88 (1991).

<sup>&</sup>lt;sup>14</sup> Section 312(f)(1) of the Act defines "repeated" as "the commission or omission of [any] act more than once or, if such commission or omission is continuous, for more than one day." 47 U.S.C. § 312(f)(1). *See also Southern California*, 6 FCC Rcd at 4388 (applying this definition of repeated to Sections 312 and 503(b) of the Act).

<sup>&</sup>lt;sup>15</sup> See, e.g., Valley Baptist Church and Christian School, Forfeiture Order, 23 FCC Rcd 8740 (MB 2008) (reducing sua sponte forfeiture amount from \$1,500 to \$250 for translator station's late renewal filing); Good News Translator Assoc., Memorandum Opinion and Notice of Apparent Liability for Forfeiture, 22 FCC Rcd 20922 (MB 2007) (finding translator licensee apparently liable for monetary forfeiture in the amount of \$250 for its willful violation of Section 73.3539 of the Rules); Bible Broadcasting Network, Inc., Memorandum Opinion and Notice of Apparent Liability for Forfeiture, 22 FCC Rcd 11445 (MB 2007) (same).

<sup>&</sup>lt;sup>16</sup> 47 U.S.C. § 503(b); 47 C.F.R. §§ 0.283, 1.80.

<sup>&</sup>lt;sup>17</sup> 47 U.S.C. § 504(a).

<sup>&</sup>lt;sup>18</sup> See 47 C.F.R. § 1.1914.

11. IT IS FURTHER ORDERED, that a copy of this Forfeiture Order shall be sent by Certified Mail Return Receipt Requested and by First Class Mail to Ronald V. Loge, President, Dillon NPR, 615 South Pacific, Dillon, Montana 59725.

FEDERAL COMMUNICATIONS COMMISSION

Peter H. Doyle Chief, Audio Division Media Bureau